

China (Shanghai) Pilot Free Trade Zone: List of Official Documents

As of 2013.10.30

Category	Issued by	Title
General	Standing Committee of the National People's Congress	1. Decision to Authorize the State Council to Adjust the Laws and Regulations in relation to the Administration Approval items in the Shanghai Pilot FTZ on a Provisional Basis
	State Council	2. General Plan for China (Shanghai) Pilot FTZ*
	Shanghai People's Congress	3. Decision to adjust local rules in Shanghai FTZ on a Provisional Basis
	Shanghai Municipal Government	4. General Administrative Measures of the China (Shanghai) Pilot FTZ*
Administration on investment	Shanghai Municipal Government	5. Administrative Measures of Special Treatment on Foreign Investments in the Shanghai Pilot FTZ (the Negative List) (2013)
		6. Administrative Measures of Record-filing of Foreign Investment Enterprises in the Shanghai Pilot FTZ
		7. Administrative Measures of Record-filing of Foreign Investment Projects in the Shanghai Pilot FTZ
		8. Administrative Measures of Record-filing of Enterprises in the Shanghai Pilot FTZ in investing in Overseas Enterprises
		9. Administrative Measures of Record-filing of Enterprises in the Shanghai Pilot FTZ in investing in Overseas Projects
Administration on business registration	State Administration for Industry & Commerce	10. Certain Options Supporting the Development of the Shanghai Pilot FTZ
		11. Notice Approving the New Template of Business License to be Used in the Shanghai Pilot FTZ
	Shanghai Industry and Commerce Bureau	12. China (Shanghai) Pilot Free Trade Zone Enterprises Registration and

			Management Provisions and its explanation
Industry specific	Banking Regulatory Commission	13.	Notice regarding the Supervision on Banking Sectors in the Shanghai Pilot FTZ
	Ministry of Culture	14.	Notice regarding the Administration of the Cultural Market in the Shanghai Pilot FTZ
	Ministry of Transport and Shanghai Municipal Government	15.	Implementation Options to Accelerate the Establishment of the Shanghai International Shipping Hub
	Ministry of Transport	16.	Circular concerning Trial Implementation of Coastal Shipping of China-invested Foreign Ships of International Sails
	Administration of Quality Supervision, Inspection and Quarantine (AQSIQ)	17.	AQSIQ supporting measures for Shanghai Pilot FTZ
	China Securities Regulatory Commission (CSRC)	18.	Supporting measures by CSRC (forthcoming)
	China Insurance Regulatory Commission (CIRC)	19.	Support measures for Shanghai Pilot FTZ by CIRC
Customs	Ministry of Finance, General Administration of Customs, State Administration of Taxation	20.	Circular concerning tariff policy on imports in China (Shanghai) Pilot Free Trade Zone
	Shanghai Customs	21.	Notice Regarding the Opening of Customs Service Window in the Shanghai Pilot FTZ

*** Summary of the two major guiding documents for the Shanghai Pilot FTZ**

[General Plan for China \(Shanghai\) Pilot Free Trade Zone](#)

The State Council released its overall plan on September 27 for the development of the China (Shanghai) Pilot Free Trade Zone (FTZ), announcing it would open up its services sector to foreign investors and make trial use of market-based interest rates and RMB convertibility on the capital account.

According to the announcement, the FTZ will be a testing ground for major policy reforms aiming to further free up cross-border trade and investment. The FTZ will lower the entry thresholds for foreign investments, simplify administrative procedures, and remove controls on cross-border capital flows. The FTZ will also encourage leasing operations and third-party inspection organizations. Authorities will offer income tax incentives for business and individual

shareholders of businesses registered in the zone, according to the statement.

The announcement also listed key reform areas for the FTZ including finance, transport, commerce and service, specialized services, and cultural and social services.

[General Administrative Measures of the China \(Shanghai\) Pilot Free Trade Zone](#)

In accordance with the General Plan and in agreement with official decisions issued by the National People's Congress and the Shanghai People's Congress, on September 29, the Shanghai Municipal Government announced its general measures governing the administrative management of the Shanghai Pilot FTZ. According to this document, an FTZ Administration Committee will be established as the main management body in the FTZ.

The general measures cover investment improvement, trade facilitation, financial innovation, and risk control within the zone. The FTZ will also adopt comprehensive management and service measures like a unified service system, better safety review, antitrust scrutiny, better protection of intellectual property rights, and regulatory information sharing in order to optimize management and regulation.